



## **REQUEST FOR PROPOSALS**

**Professional services from a Firm to work on Preparation of project  
document for a Rwanda GEF-6 Project  
Forest Landscape Restoration in the Mayaga Region  
Rwanda**

## Section 1. Letter of Invitation

Kigali-Rwanda  
July 26, 2017

### REQUEST FOR PROPOSAL (RFP)

UNDP is looking for a Firm/Company to submit a proposal for the **Preparation of Project Document for a Rwanda GEF-6 project on "Forest Landscape Restoration in the Mayaga Region.**

Please be guided by the form attached hereto as Annex 2, in preparing your proposal.

Proposals may be submitted on or before **Thursday, August 14, 2017** and via email, courier mail or fax to the address below:

United Nations Development Program  
P.O Box 445 Kigali, Rwanda, 12 Avenue de l'Armée, Kigali, Rwanda  
*Attn: Head of Procurement Unit*  
Email: [offers.rw@undp.org](mailto:offers.rw@undp.org)

The proposal must be expressed in English, and valid a minimum period of 90 days.

In the course of preparing your proposal, it shall remain your responsibility to ensure that it reaches the address above on or before the deadline. Proposals that are received by UNDP after the deadline indicated above, for whatever reason, shall not be considered for evaluation. If you are submitting your proposal by email, kindly ensure that they are signed and in the pdf. Format, and free from any virus or corrupted files.

Services proposed shall be reviewed and evaluated based on completeness and compliance of the proposal and responsiveness with the requirements of the RFP and all other annexes providing details of UNDP requirements.

The proposal that complies with all requirements, meets all the evaluation criteria and offers the best value for money shall be selected and awarded the contract. Any offer that does not meet the requirements shall be rejected.

Any discrepancy between the unit price and the total price shall be re-computed by UNDP, and the unit price shall prevail and the total price shall be corrected. If the service provider does not accept the final price based on UNDP's re-computation and correction of errors, its proposal will be rejected.

No price variation due to escalation, inflation, fluctuation in exchange rates, or any other market factors shall be accepted by UNDP after it has received the proposal. At the time of award of the contract, or purchase order, UNDP reserves the right to vary (increase or decrease) the quantity of services and/or goods, by up to a maximum twenty-five per cent (25%) of the total offer, without any change in the unit

price or other terms and conditions.

Any contract or purchase order that will be issued as a result of this RFP shall be subject to the General Terms and Conditions attached hereto. The mere act of submission of a proposal implies that the service provider accepts without question the General Terms and Conditions of UNDP, herein attached as Annex 3.

Please be advised that UNDP is not bound to accept any proposal, nor award a contract or a purchase order, nor be responsible for any cost associated with a service provider's preparation or submission of a proposal, regardless of the outcome or the manner of conducting the selection process.

UNDP's vendor protest procedure is intended to afford an opportunity to appeal for persons or firms not awarded a Purchase Order or contract in a competitive procurement process. In the event that you believe you have not been fairly treated, you can find detailed information about vendor protest procedures in the following link: <http://www.undp.org/procurement/protest.shtml>.

UNDP encourages every prospective service provider to prevent and avoid conflicts of interest, by disclosing to UNDP if you, or any of your affiliates or personnel, were involved in the preparation of the requirements, design, cost estimates, and other information used in this RFP.

UNDP implements a zero tolerance on fraud and other proscribed practices, and is committed to preventing, identifying and addressing all such acts and practices against UNDP, as well as third parties involved in UNDP activities. UNDP expects its service providers to adhere to the UN Supplier Code of conduct found in this link: <http://www.un.org/depts/pdf/pdf/conduct.english.pdf>

**All interested companies may download the Request for Proposal and Terms of Reference documents from UNDP Rwanda website at:**

<http://www.rw.undp.org/content/rwanda/en/home/operations/procurement/notices/>

Thank you and we look forward to receiving your proposal.

Sincerely yours,

Roselyn Sinemani  
UNDP deputy Country Director/Operations.

## Description of requirements

Context of the Requirement	<b>Preparation of Project Document for a Rwanda GEF-6 project on “Forest Landscape Restoration in the Mayaga Region.</b>
Implementing Partner of UNDP	City of Kigali (CoK), MINERENA
Objective and scope of service	<p>The purpose of this RFP IS TO PROVIDE TECHNICAL SUPPORT TO UNDP Rwanda by preparation of the project document for “Forest Landscape Restoration in the Mayaga Region” of Rwanda with the international consultant as the team leader by undertaking and completing the components listed as below:</p> <p><b>Component A: Technical review</b></p> <p><b>A. Baseline studies</b></p> <p>These will be conducted as project preparation aiming at collaboration and expansion of the barrier description outlined in the PIF. More details to be incorporated in the studies for each project component is listed in Annex 1. For all components, the points below should be included:</p> <ol style="list-style-type: none"> <li>1. Collaboration and expansion of the <b>threats, long-term desired condition and baselines</b>: This will include; i) elaboration and expansion the narrative on the threats to forests, biodiversity and ecosystem services at national level and in each of the four Districts, quantifying the magnitude where relevant; ii) Confirm the long-term desired condition, in view of these threats; and, iii) confirm the baseline programs, clearly outlining their objectives, duration and budgets, and explaining the link between these expenditures and the proposed project outcomes (and activities where these are clear).</li> <li>2. <b>Undertake a policy analysis</b>: Review the relevant policies that impact on the SFM, forest restoration and uptake of the SLM, identifying the registration and institutional issues influencing local level enforcement/uptake of legal provisions, review the state of coordination and collaboration in the Joint Action Development For (JADF); review decision making processes and make recommendations for the project to enhance capacities and policy environment (coordinating this closely with the World Bank supported Forest Landscape Restoration Project);</li> <li>3. <b>Gender analysis and Gender Action Plan</b>: Undertake a gender analysis, identifying various gender groups, examining the rules and regulations, and cultural norms that influence their interactions, especially access to, and control of natural resources; further examining how the effectiveness and efficiency of implementation of the</li> </ol>

proposed project activities are likely to be affected by these gender arrangements, and how the project implementation, results and impacts are likely to affect the gender arrangements. Use the findings to formulate a gender strategy, to guide project to mainstream gender considerations in the implementation, to ensure that the project is inclusive, and that benefits, as well as responsibilities are adequately targeted. Examine the proposed project targets and indicators to ensure that they are gender sensitive and that monitoring data will be gender/sex disaggregated.

**4. Stakeholder engagement and Livelihood Action Plan.**

Full justification and detailed descriptions of the project goal, objectives, outcomes, outputs and activities proposed in the PIF should be elaborated through the following actions: the results of the assessments above will be utilized to flesh out the results chain. More details for each Component are listed in Annex I

**B. Any additional assessments that might be needed**

These additional assessments may be required to further address any opportunity/risk identified during an environmental and social screening of the project proposal. Addressing the risks raised during the Social and Environment Screening has been integrated under the core baseline assessments under each component (Under baseline assessment section), including a full-scale gender analysis. However, a final review of how the risks have been addressed will be undertaken and confirmation provided that they have been all adequately assessed, or further analysis undertaken to address the comprehensively. The risks identified are outlined in the SESP but including the list provided as Annex II of ToR.

**C. Identification of specific sites for intervention**

Presently the project will be implemented in selected sectors in Kamonyi, Gisagara, Ruhango and Nyanza Districts. These sectors will be confirmed and specifics provided of the sites where (i) the reforestation of 500 ha will happen, (ii) where the plantation forests are; (iii) where restoration will happen via agroforestry based system; and (iv) which village will be supported by the private sector engagement.

**D. Use the information on threat confirmation and baseline data analysis to integrate the project with development plans, policies, budgets and complementary projects further describe and cost the programmatic baseline projects as discussed in the PIF; analyze weaknesses and gaps in these, and identify opportunities for joint action/identification**

for co-financing.

E. Completion of GEF focal area tracking tools: BD Management Effectiveness Tracking Tools (METTS), Land Degradation Focal Area Portfolio Monitoring and Assessment Tool (PMAT), CC Mitigation and Sustainable Forest Management Tracking Tool.

**F. Stakeholder consultations during technical review:**

- Mobilize and engage stakeholders during project design.
- Negotiate partnerships with on-going projects to align their activities and the project to build synergies.

Consultation will be widely participatory to ensure accurate baseline assessments, raise awareness about the project, enable an inclusive project formulation and secure an early buy-in of the project objectives and results, for smooth implementation and sustainability of the results. Consultation will also be guided by gender considerations and other social economics aspects, as described in the responses to the risk analysis in Annex 3. The consultation processes should be documented.

**COMPONENT B: INSTITUTIONAL ARRANGEMENTS, MONITORING AND EVALUATION**

The outputs of Component A will be used as technical input to Component B for the formulation of the UNDP-GEF project document.

- A) Finalization of project results framework: Further define the results framework with appropriate objective-level and outcome-level quantitative and qualitative indicators, and end-of-project targets.
- B) Definition of monitoring and evaluation (M&E): A detailed M&E work plan will be developed, including clear identification of responsibilities and accountabilities, as well as an appropriate M&E budget.
- C) Definition of sustainability plan: The sustainability plan will outline the principles and guidelines for ensuring the long-term sustainability of project achievements.
- D) Definition of gender mainstreaming plan: The gender mainstreaming plan will outline the principles and guidelines for mainstreaming gender in individual outputs, in order to ensure the equal participation of women and men and to ensure no adverse impact on either sex.
- E) Definition of management arrangements: The organisational structure governing the project will be decided.
- F) Stakeholder consultations during Component B: Involve key agencies in the development of the project strategy to ensure a strong national ownership. In close collaboration with key government representatives and other stakeholders ensure full participation in the development of the project results framework and ensure agreement on the project objectives and outcomes.

### **Component C: Financial planning and co-financing investments**

A) Multi-year budget plan

Prepare a detailed multi-year budget following the standard template provided in the UNDP-GEF project document template that reflects the mandatory requirements of the GEF M&E Policy.

B) Consultations for co-financing

Explore multilateral and bilateral co-financing opportunities; Undertake series of consultations with partners to ensure a coherent and sustainable financing package for the project including post-GEF grant phase.

C) Completion of required document for official endorsement letters

Ensure completion of required official endorsement letters: An official endorsement letter will be prepared by the GEF Operational Focal Point of the Government. A co-financing guarantee will be collected from participating government institutions, bilateral development partners, multilateral development partners and NGOs who wish to provide cash or in kind contributions to the project.

D) Stakeholder consultations during Component C

Involve key agencies in the development of the project strategy to ensure a strong national ownership.

### **Component D: Validation Workshop**

A validation workshop will gather representatives from all relevant stakeholders to present, discuss and validate the final draft project document.

### **Component E: Completion of final documentation**

Following the validation workshop, the Team Leader – with strong support from the team of experts – will move as quickly as possible to finalize the complete package of documentation for clearance internally by UNDP for submission to the GEF Secretariat.

A) **Consolidation of all technical and consultation inputs** into a clearly written UNDP ProDoc with all relevant sections and annexes

The complete documentation package shall include the following documents:

- Finalized UNDP Project Document
- Finalized GEF Request for CEO Endorsement
- Finalized SESP (Social & Environmental Standards)
- Finalized Gender assessment
- GEF Tracking Tools
- All co-financing letters

	<p>B) <u>Completion of CEO endorsement request</u></p> <p>C) <u>Preparation of ‘UNDP Response to GEF Secretariat Comments’ &amp; Revision of ProDoc</u>  Once GEF Secretariat comments have been received after submission, the consultants will prepare a ‘UNDP Response to GEF Secretariat Comments’ and then make changes to the text of the UNDP project document and GEF Request for CEO Endorsement with the ultimate objective of obtaining GEF approval of the full project.</p>
<b>Outputs/Expected deliverables</b>	Deliverable/Output
	<u>1<sup>st</sup> Set of Deliverable:</u> Inception report which includes the understanding of the task and ToRs, detailed work schedule and outlines of final deliverables
	<u>2<sup>nd</sup> Set of Deliverable:</u> Technical review (Component A) including all reports listed in Annex IV as Deliverable 2; & Four (4) monthly reports by the end of each month
	<u>3<sup>rd</sup> Set of Deliverable:</u> A detailed draft project document including project results framework presented to stakeholders during validation workshop including all reports/documents listed in Annex IV as Deliverable 3; Stakeholders’ consultation reports; Validation workshop report (Component B, C and D); & Three (3) monthly reports by the end of each month
	<u>4<sup>th</sup> Set of Deliverable:</u> Final Full Sized Project document including the development of GEF CEO Endorsement Request and incorporation of comments provided by stakeholders and GEF Secretariat (Component E); & Three (3) monthly reports by the end of each month
Person to supervise the work/performance of the service provider	Program specialist and Head of Unit, Poverty Reduction and Environment Unit of UNDP Rwanda and any person delegated to perform such a duty.
Frequency of Reporting	See attached TORs.
Progress reporting Requirements	See attached TORs
Location of work	<input type="checkbox"/> Exact Address/es [pls. specify] <input checked="" type="checkbox"/> At Contractor’s Location
Expected duration of work	10 Months
Target start date	Immediately after signature of the contract (preferably not later than 14 <sup>th</sup> August 2017)
Latest Completion date	30 <sup>th</sup> June 2018
Travel expected	Before 14 <sup>th</sup> August 2017
Special security requirements	N/A
Facilities to be	<input checked="" type="checkbox"/> Office space and facilities

provided by the UNDP (i.e., must be excluded from price proposal)	<input type="checkbox"/> Land Transportation <input type="checkbox"/> Others <i>[pls. specify]</i>						
Implementation Schedule indicating breakdown and timing of activities/sub-activities	<input checked="" type="checkbox"/> Required <input type="checkbox"/> Not Required						
Names and curriculum vitae of individuals who will be involved in completing the services	<input checked="" type="checkbox"/> Required <input type="checkbox"/> Not Required						
Currency of Proposal	<input checked="" type="checkbox"/> United States Dollars <input type="checkbox"/> Euro <input type="checkbox"/> Local Currency						
Value Added Tax on Price Proposal	<input checked="" type="checkbox"/> must be inclusive of VAT and other applicable indirect taxes (for Rwandan Companies) <input type="checkbox"/> must be exclusive of VAT and other applicable indirect taxes (for external companies)						
Validity Period of Proposals <i>(Counting for the last day of submission of quotes)</i>	<input type="checkbox"/> 60 days <input checked="" type="checkbox"/> 90 days <input type="checkbox"/> 120 days <p>In exceptional circumstances, UNDP may request the Proposer to extend the validity of the Proposal beyond what has been initially indicated in this RFP. The Proposal shall then confirm the extension in writing, without any modification whatsoever on the Proposal.</p>						
Partial Quotes	<input checked="" type="checkbox"/> Not permitted <input type="checkbox"/> Permitted						
Payment Terms <sup>1</sup>	<table border="1"> <tr> <td>1<sup>st</sup> instalment</td> <td>10%</td> <td>1<sup>st</sup> Set of Deliverable: Inception report which includes the understanding of the task and ToRs, detailed work schedule and outlines of final deliverables</td> </tr> <tr> <td>2<sup>nd</sup> instalment</td> <td>25%</td> <td>2<sup>nd</sup> Set of Deliverable: Technical review (Component A) including all reports listed in Annex IV as deliverable 2; and four (4) monthly reports by the end of each month</td> </tr> </table>	1 <sup>st</sup> instalment	10%	1 <sup>st</sup> Set of Deliverable: Inception report which includes the understanding of the task and ToRs, detailed work schedule and outlines of final deliverables	2 <sup>nd</sup> instalment	25%	2 <sup>nd</sup> Set of Deliverable: Technical review (Component A) including all reports listed in Annex IV as deliverable 2; and four (4) monthly reports by the end of each month
1 <sup>st</sup> instalment	10%	1 <sup>st</sup> Set of Deliverable: Inception report which includes the understanding of the task and ToRs, detailed work schedule and outlines of final deliverables					
2 <sup>nd</sup> instalment	25%	2 <sup>nd</sup> Set of Deliverable: Technical review (Component A) including all reports listed in Annex IV as deliverable 2; and four (4) monthly reports by the end of each month					

<sup>1</sup> UNDP preference is not to pay any amount in advance upon signing of contract. If the Service Provider strictly requires payment in advance, it will be limited only up to 20% of the total price quoted. For any higher percentage, or any amount advanced exceeding \$30,000, UNDP shall require the Service Provider to submit a bank guarantee or bank cheque payable to UNDP, in the same amount as the payment advanced by UNDP to the Service Provider.

	3 <sup>rd</sup> instalment	30%	3 <sup>rd</sup> Set of Deliverable: A detailed draft project document including project results framework presented to stakeholders during validation workshop including all reports/documents listed in Annex IV as deliverable 3; Stakeholders' consultation reports; Validation workshop report (Component B, C and D); and three (3) monthly reports by the end of each month
	4 <sup>th</sup> instalment	35%	4 <sup>th</sup> Set of Deliverable: Final Full Sized Project document including the development of GEF CEO Endorsement Request and incorporation of comments provided by stakeholders and GEF Secretariat (Component E); and three (3) monthly reports by the end of each month
	Total	100%	
Person(s) to review/inspect/ approve outputs/completed services and authorize the disbursement of payment	With recommendations from the technical working group, the firm will report to the Programme Specialist and Head of Unit, Poverty Reduction and Environment Unit of UNDP Rwanda or any person delegated to perform such a duty after being cleared by the Team Leader and the technical working group.		
Type of Contract to be Signed	<input type="checkbox"/> Purchase Order <input type="checkbox"/> Institutional Contract <input checked="" type="checkbox"/> Contract for Professional Services <input type="checkbox"/> Long-Term Agreement <sup>2</sup> <input type="checkbox"/> Other Type of Contract		
Criteria for Contract Award	<input type="checkbox"/> Lowest Price Quote among technically responsive offers <input checked="" type="checkbox"/> Highest Combined Score (based on the 70% technical offer and 30% price weight distribution) <input checked="" type="checkbox"/> Full acceptance of the UNDP Contract General Terms and Conditions (GTC). This is a mandatory criterion and cannot be deleted regardless of the nature of services required. Non-acceptance of the GTC may be grounds for the rejection of the Proposal.		
Criteria for the Assessment of Proposal	<b>Technical Proposal (70%)</b> <input checked="" type="checkbox"/> Expertise of the Firm 20% <input checked="" type="checkbox"/> Methodology, Its Appropriateness to the Condition and Timeliness of the Implementation Plan 40% <input checked="" type="checkbox"/> Management Structure and Qualification of Key Personnel 40% <b>Financial Proposal (30%)</b>		

<sup>2</sup> Minimum of one (1) year period and may be extended up to a maximum of three (3) years subject to satisfactory performance evaluation. This RFP may be used for LTAs if the annual purchases will not exceed \$100,000.00.

	To be computed as a ratio of the Proposal's offer to the lowest price among the proposals received by UNDP.
UNDP will award the contract to:	<input checked="" type="checkbox"/> One and only one Service Provider <input type="checkbox"/> One or more Service Providers
Annexes to this RFP	<input checked="" type="checkbox"/> Form for Submission of Proposal (Annex 2) <input checked="" type="checkbox"/> General Terms and Conditions / Special Conditions (Annex 3) <sup>3</sup> <input checked="" type="checkbox"/> Detailed TOR
Contact Person for Inquiries (Written inquiries only)	<i>Nadine Umuhire</i> <i>Procurement Associate</i> <a href="mailto:Nadine.umuhire@undp.org">Nadine.umuhire@undp.org</a> Any delay in UNDP's response shall be not used as a reason for extending the deadline for submission, unless UNDP determines that such an extension is necessary and communicates a new deadline to the Proposers.
Other Information	

---

<sup>3</sup> *Service Providers are alerted that non-acceptance of the terms of the General Terms and Conditions (GTC) may be grounds for disqualification from this procurement process.*

## Annex 2

### FORM FOR SUBMITTING SERVICE PROVIDER'S PROPOSAL

*(This Form must be submitted only using the Service Provider's Official Letterhead/Stationery<sup>4</sup>)*

[insert: Location].

[insert: Date]

To: [insert: Name and Address of UNDP focal point]

Dear Sir/Madam:

We, the undersigned, hereby offer to render the following services to UNDP in conformity with the requirements defined in the RFP dated [specify date] , and all of its attachments, as well as the provisions of the UNDP General Contract Terms and Conditions:

#### A. Qualifications of the Service Provider

*The Service Provider must describe and explain how and why they are the best entity that can deliver the requirements of UNDP by indicating the following :*

- a) Profile – describing the nature of business, field of expertise, licenses, certifications, accreditations;*
- b) Business Licenses – Registration Papers, Tax Payment Certification, etc.*
- c) Latest Audited Financial Statement – income statement and balance sheet to indicate its financial stability, liquidity, credit standing, and market reputation, etc. ;*
- d) Track Record – list of clients for similar services as those required by UNDP, indicating description of contract scope, contract duration, contract value, contract references;*
- e) Certificates and Accreditation – including Quality Certificates, Patent Registrations, Environmental Sustainability Certificates, etc.*
- f) Written Self-Declaration that the company is not in the UN Security Council 1267/1989 List, UN Procurement Division List or Other UN Ineligibility List.*

#### B. Proposed Methodology for the Completion of Services

*The Service Provider must describe how it will address/deliver the demands of the RFP; providing a detailed description of the essential performance characteristics, reporting conditions and quality assurance mechanisms that will be put in place, while demonstrating that the proposed methodology will be appropriate to the local conditions and context of the work.*

---

<sup>4</sup> *Official Letterhead/Stationery must indicate contact details – addresses, email, phone and fax numbers – for verification purposes*

--

**C. Qualifications of Key Personnel**

*If required by the RFP, the Service Provider must provide :*

- a) Names and qualifications of the key personnel that will perform the services indicating who is Team Leader, who are supporting, etc.;
- b) CVs demonstrating qualifications must be submitted if required by the RFP; and
- c) Written confirmation from each personnel that they are available for the entire duration of the contract.

**D. Cost Breakdown per Deliverable\***

	<b>Deliverables [list them as referred to in the RFP]</b>	<b>Percentage of Total Price (Weight for payment)</b>	<b>Price (Lump Sum, All Inclusive)</b>
1	Deliverable 1		
2	Deliverable 2		
3	....		
	<b>Total</b>	100%	

*\*This shall be the basis of the payment tranches*

**E. Cost Breakdown by Cost Component [This is only an Example]:**

<b>Description of Activity</b>	<b>Remuneration per Unit of Time</b>	<b>Total Period of Engagement</b>	<b>No. of Personnel</b>	<b>Total Rate</b>
<b>I. Personnel Services</b>				
1. Services from Home Office				
a. Expertise 1				
b. Expertise 2				
2. Services from Field Offices				
a . Expertise 1				
b. Expertise 2				
3. Services from Overseas				
a. Expertise 1				
b. Expertise 2				
<b>II. Out of Pocket Expenses</b>				
1. Travel Costs				
2. Daily Allowance				
3. Communications				
4. Reproduction				
5. Equipment Lease				
6. Others				
<b>III. Other Related Costs</b>				

*[Name and Signature of the Service Provider's  
Authorized Person]*

*[Designation]*  
*[Date]*

## **Annex 3**

### **GENERAL TERMS AND CONDITIONS FOR SERVICES**

#### **1.0 LEGAL STATUS:**

The Contractor shall be considered as having the legal status of an independent contractor vis-à-vis the United Nations Development Programme (UNDP). The Contractor's personnel and sub-contractors shall not be considered in any respect as being the employees or agents of UNDP or the United Nations.

#### **2.0 SOURCE OF INSTRUCTIONS:**

The Contractor shall neither seek nor accept instructions from any authority external to UNDP in connection with the performance of its services under this Contract. The Contractor shall refrain from any action that may adversely affect UNDP or the United Nations and shall fulfill its commitments with the fullest regard to the interests of UNDP.

#### **3.0 CONTRACTOR'S RESPONSIBILITY FOR EMPLOYEES:**

The Contractor shall be responsible for the professional and technical competence of its employees and will select, for work under this Contract, reliable individuals who will perform effectively in the implementation of this Contract, respect the local customs, and conform to a high standard of moral and ethical conduct.

#### **4.0 ASSIGNMENT:**

The Contractor shall not assign, transfer, pledge or make other disposition of this Contract or any part thereof, or any of the Contractor's rights, claims or obligations under this Contract except with the prior written consent of UNDP.

#### **5.0 SUB-CONTRACTING:**

In the event the Contractor requires the services of sub-contractors, the Contractor shall obtain the prior written approval and clearance of UNDP for all sub-contractors. The approval of UNDP of a sub-contractor shall not relieve the Contractor of any of its obligations under this Contract. The terms of any sub-contract shall be subject to and conform to the provisions of this Contract.

#### **6.0 OFFICIALS NOT TO BENEFIT:**

The Contractor warrants that no official of UNDP or the United Nations has received or will be

offered by the Contractor any direct or indirect benefit arising from this Contract or the award thereof. The Contractor agrees that breach of this provision is a breach of an essential term of this Contract.

## **7.0 INDEMNIFICATION:**

The Contractor shall indemnify, hold and save harmless, and defend, at its own expense, UNDP, its officials, agents, servants and employees from and against all suits, claims, demands, and liability of any nature or kind, including their costs and expenses, arising out of acts or omissions of the Contractor, or the Contractor's employees, officers, agents or sub-contractors, in the performance of this Contract. This provision shall extend, inter alia, to claims and liability in the nature of workmen's compensation, products liability and liability arising out of the use of patented inventions or devices, copyrighted material or other intellectual property by the Contractor, its employees, officers, agents, servants or sub-contractors. The obligations under this Article do not lapse upon termination of this Contract.

## **8.0 INSURANCE AND LIABILITIES TO THIRD PARTIES:**

**8.1** The Contractor shall provide and thereafter maintain insurance against all risks in respect of its property and any equipment used for the execution of this Contract.

**8.2** The Contractor shall provide and thereafter maintain all appropriate workmen's compensation insurance, or the equivalent, with respect to its employees to cover claims for personal injury or death in connection with this Contract.

**8.3** The Contractor shall also provide and thereafter maintain liability insurance in an adequate amount to cover third party claims for death or bodily injury, or loss of or damage to property, arising from or in connection with the provision of services under this Contract or the operation of any vehicles, boats, airplanes or other equipment owned or leased by the Contractor or its agents, servants, employees or sub-contractors performing work or services in connection with this Contract.

**8.4** Except for the workmen's compensation insurance, the insurance policies under this Article shall:

**8.4.1** Name UNDP as additional insured;

**8.4.2** Include a waiver of subrogation of the Contractor's rights to the insurance carrier against the UNDP;

**8.4.3** Provide that the UNDP shall receive thirty (30) days written notice from the insurers prior to any cancellation or change of coverage.

**8.5** The Contractor shall, upon request, provide the UNDP with satisfactory evidence of the insurance required under this Article.

## **9.0 ENCUMBRANCES/LIENS:**

The Contractor shall not cause or permit any lien, attachment or other encumbrance by any person to be placed on file or to remain on file in any public office or on file with the UNDP against any monies due or to become due for any work done or materials furnished under this Contract,

or by reason of any other claim or demand against the Contractor.

#### **10.0 TITLE TO EQUIPMENT:**

Title to any equipment and supplies that may be furnished by UNDP shall rest with UNDP and any such equipment shall be returned to UNDP at the conclusion of this Contract or when no longer needed by the Contractor. Such equipment, when returned to UNDP, shall be in the same condition as when delivered to the Contractor, subject to normal wear and tear. The Contractor shall be liable to compensate UNDP for equipment determined to be damaged or degraded beyond normal wear and tear.

#### **11.0 COPYRIGHT, PATENTS AND OTHER PROPRIETARY RIGHTS:**

**11.1** Except as is otherwise expressly provided in writing in the Contract, the UNDP shall be entitled to all intellectual property and other proprietary rights including, but not limited to, patents, copyrights, and trademarks, with regard to products, processes, inventions, ideas, know-how, or documents and other materials which the Contractor has developed for the UNDP under the Contract and which bear a direct relation to or are produced or prepared or collected in consequence of, or during the course of, the performance of the Contract, and the Contractor acknowledges and agrees that such products, documents and other materials constitute works made for hire for the UNDP.

**11.2** To the extent that any such intellectual property or other proprietary rights consist of any intellectual property or other proprietary rights of the Contractor: (i) that pre-existed the performance by the Contractor of its obligations under the Contract, or (ii) that the Contractor may develop or acquire, or may have developed or acquired, independently of the performance of its obligations under the Contract, the UNDP does not and shall not claim any ownership interest thereto, and the Contractor grants to the UNDP a perpetual license to use such intellectual property or other proprietary right solely for the purposes of and in accordance with the requirements of the Contract.

**11.3** At the request of the UNDP; the Contractor shall take all necessary steps, execute all necessary documents and generally assist in securing such proprietary rights and transferring or licensing them to the UNDP in compliance with the requirements of the applicable law and of the Contract.

**11.4** Subject to the foregoing provisions, all maps, drawings, photographs, mosaics, plans, reports, estimates, recommendations, documents, and all other data compiled by or received by the Contractor under the Contract shall be the property of the UNDP, shall be made available for use or inspection by the UNDP at reasonable times and in reasonable places, shall be treated as confidential, and shall be delivered only to UNDP authorized officials on completion of work under the Contract.

#### **12.0 USE OF NAME, EMBLEM OR OFFICIAL SEAL OF UNDP OR THE UNITED NATIONS:**

The Contractor shall not advertise or otherwise make public the fact that it is a Contractor with UNDP, nor shall the Contractor, in any manner whatsoever use the name, emblem or official seal of UNDP or THE United Nations, or any abbreviation of the name of UNDP or United Nations in

connection with its business or otherwise.

### **13.0 CONFIDENTIAL NATURE OF DOCUMENTS AND INFORMATION:**

Information and data that is considered proprietary by either Party and that is delivered or disclosed by one Party (“Discloser”) to the other Party (“Recipient”) during the course of performance of the Contract, and that is designated as confidential (“Information”), shall be held in confidence by that Party and shall be handled as follows:

**13.1** The recipient (“Recipient”) of such information shall:

**13.1.1** use the same care and discretion to avoid disclosure, publication or dissemination of the Discloser’s Information as it uses with its own similar information that it does not wish to disclose, publish or disseminate; and,

**13.1.2** use the Discloser’s Information solely for the purpose for which it was disclosed.

**13.2** Provided that the Recipient has a written agreement with the following persons or entities requiring them to treat the Information confidential in accordance with the Contract and this Article 13, the Recipient may disclose Information to:

**13.2.1** any other party with the Discloser’s prior written consent; and,

**13.2.2** the Recipient’s employees, officials, representatives and agents who have a need to know such Information for purposes of performing obligations under the Contract, and employees officials, representatives and agents of any legal entity that it controls controls it, or with which it is under common control, who have a need to know such Information for purposes of performing obligations under the Contract, provided that, for these purposes a controlled legal entity means:

**13.2.2.1** a corporate entity in which the Party owns or otherwise controls, whether directly or indirectly, over fifty percent (50%) of voting shares thereof; or,

**13.2.2.2** any entity over which the Party exercises effective managerial control; or,

**13.2.2.3** for the UNDP, an affiliated Fund such as UNCDF, UNIFEM and UNV.

**13.3** The Contractor may disclose Information to the extent required by law, provided that, subject to and without any waiver of the privileges and immunities of the United Nations, the Contractor will give the UNDP sufficient prior notice of a request for the disclosure of Information in order to allow the UNDP to have a reasonable opportunity to take protective measures or such other action as may be appropriate before any such disclosure is made.

**13.4** The UNDP may disclose Information to the extent as required pursuant to the Charter of the UN, resolutions or regulations of the General Assembly, or rules promulgated by the Secretary-General.

**13.5** The Recipient shall not be precluded from disclosing Information that is obtained by the Recipient from a third party without restriction, is disclosed by the Discloser to a third

party without any obligation of confidentiality, is previously known by the Recipient, or at any time is developed by the Recipient completely independently of any disclosures hereunder.

- 13.6** These obligations and restrictions of confidentiality shall be effective during the term of the Contract, including any extension thereof, and, unless otherwise provided in the Contract, shall remain effective following any termination of the Contract.

#### **14.0 FORCE MAJEURE; OTHER CHANGES IN CONDITIONS**

- 14.1** In the event of and as soon as possible after the occurrence of any cause constituting force majeure, the Contractor shall give notice and full particulars in writing to the UNDP, of such occurrence or change if the Contractor is thereby rendered unable, wholly or in part, to perform its obligations and meet its responsibilities under this Contract. The Contractor shall also notify the UNDP of any other changes in conditions or the occurrence of any event that interferes or threatens to interfere with its performance of this Contract. On receipt of the notice required under this Article, the UNDP shall take such action as, in its sole discretion; it considers to be appropriate or necessary in the circumstances, including the granting to the Contractor of a reasonable extension of time in which to perform its obligations under this Contract.

- 14.2** If the Contractor is rendered permanently unable, wholly, or in part, by reason of force majeure to perform its obligations and meet its responsibilities under this Contract, the UNDP shall have the right to suspend or terminate this Contract on the same terms and conditions as are provided for in Article 15, "Termination", except that the period of notice shall be seven (7) days instead of thirty (30) days.

- 14.3** Force majeure as used in this Article means acts of God, war (whether declared or not), invasion, revolution, insurrection, or other acts of a similar nature or force.

- 14.4** The Contractor acknowledges and agrees that, with respect to any obligations under the Contract that the Contractor must perform in or for any areas in which the UNDP is engaged in, preparing to engage in, or disengaging from any peacekeeping, humanitarian or similar operations, any delays or failure to perform such obligations arising from or relating to harsh conditions within such areas or to any incidents of civil unrest occurring in such areas shall not, in and of itself, constitute force majeure under the Contract..

#### **15.0 TERMINATION**

- 15.1** Either party may terminate this Contract for cause, in whole or in part, upon thirty (30) days notice, in writing, to the other party. The initiation of arbitral proceedings in accordance with Article 16.2 ("Arbitration"), below, shall not be deemed a termination of this Contract.

- 15.2** UNDP reserves the right to terminate without cause this Contract at any time upon 15 days prior written notice to the Contractor, in which case UNDP shall reimburse the Contractor for all reasonable costs incurred by the Contractor prior to receipt of the notice of termination.

- 15.3** In the event of any termination by UNDP under this Article, no payment shall be due from UNDP to the Contractor except for work and services satisfactorily performed in conformity with the express terms of this Contract.
- 15.4** Should the Contractor be adjudged bankrupt, or be liquidated or become insolvent, or should the Contractor make an assignment for the benefit of its creditors, or should a Receiver be appointed on account of the insolvency of the Contractor, the UNDP may, without prejudice to any other right or remedy it may have under the terms of these conditions, terminate this Contract forthwith. The Contractor shall immediately inform the UNDP of the occurrence of any of the above events.

## **16.0 SETTLEMENT OF DISPUTES**

- 16.1 Amicable Settlement:** The Parties shall use their best efforts to settle amicably any dispute, controversy or claim arising out of this Contract or the breach, termination or invalidity thereof. Where the parties wish to seek such an amicable settlement through conciliation, the conciliation shall take place in accordance with the UNCITRAL Conciliation Rules then obtaining, or according to such other procedure as may be agreed between the parties.
- 16.2 Arbitration:** Any dispute, controversy, or claim between the Parties arising out of the Contract or the breach, termination, or invalidity thereof, unless settled amicably under Article 16.1, above, within sixty (60) days after receipt by one Party of the other Party's written request for such amicable settlement, shall be referred by either Party to arbitration in accordance with the UNCITRAL Arbitration Rules then obtaining. The decisions of the arbitral tribunal shall be based on general principles of international commercial law. For all evidentiary questions, the arbitral tribunal shall be guided by the Supplementary Rules Governing the Presentation and Reception of Evidence in International Commercial Arbitration of the International Bar Association, 28 May 1983 edition. The arbitral tribunal shall be empowered to order the return or destruction of goods or any property, whether tangible or intangible, or of any confidential information provided under the Contract, order the termination of the Contract, or order that any other protective measures be taken with respect to the goods, services or any other property, whether tangible or intangible, or of any confidential information provided under the Contract, as appropriate, all in accordance with the authority of the arbitral tribunal pursuant to Article 26 ("Interim Measures of Protection") and Article 32 ("Form and Effect of the Award") of the UNCITRAL Arbitration Rules. The arbitral tribunal shall have no authority to award punitive damages. In addition, unless otherwise expressly provided in the Contract, the arbitral tribunal shall have no authority to award interest in excess of the London Inter-Bank Offered Rate ("LIBOR") then prevailing, and any such interest shall be simple interest only. The Parties shall be bound by any arbitration award rendered as a result of such arbitration as the final adjudication of any such dispute, controversy, or claim.

## **PRIVILEGES AND IMMUNITIES:**

Nothing in or relating to this Contract shall be deemed a waiver, express or implied, of any of the

privileges and immunities of the United Nations, including its subsidiary organs.

## **18.0 TAX EXEMPTION**

**18.1** Section 7 of the Convention on the Privileges and Immunities of the United Nations provides, inter-alia that the United Nations, including its subsidiary organs, is exempt from all direct taxes, except charges for public utility services, and is exempt from customs duties and charges of a similar nature in respect of articles imported or exported for its official use. In the event any governmental authority refuses to recognize the United Nations exemption from such taxes, duties or charges, the Contractor shall immediately consult with the UNDP to determine a mutually acceptable procedure.

**18.2** Accordingly, the Contractor authorizes UNDP to deduct from the Contractor's invoice any amount representing such taxes, duties or charges, unless the Contractor has consulted with the UNDP before the payment thereof and the UNDP has, in each instance, specifically authorized the Contractor to pay such taxes, duties or charges under protest. In that event, the Contractor shall provide the UNDP with written evidence that payment of such taxes, duties or charges has been made and appropriately authorized.

## **19.0 CHILD LABOUR**

**19.1** The Contractor represents and warrants that neither it, nor any of its suppliers is engaged in any practice inconsistent with the rights set forth in the Convention on the Rights of the Child, including Article 32 thereof, which, inter alia, requires that a child shall be protected from performing any work that is likely to be hazardous or to interfere with the child's education, or to be harmful to the child's health or physical mental, spiritual, moral or social development.

**19.2** Any breach of this representation and warranty shall entitle UNDP to terminate this Contract immediately upon notice to the Contractor, at no cost to UNDP.

## **20.0 MINES:**

**20.1** The Contractor represents and warrants that neither it nor any of its suppliers is actively and directly engaged in patent activities, development, assembly, production, trade or manufacture of mines or in such activities in respect of components primarily utilized in the manufacture of Mines. The term "Mines" means those devices defined in Article 2, Paragraphs 1, 4 and 5 of Protocol II annexed to the Convention on Prohibitions and Restrictions on the Use of Certain Conventional Weapons Which May Be Deemed to Be Excessively Injurious or to Have Indiscriminate Effects of 1980.

**20.2** Any breach of this representation and warranty shall entitle UNDP to terminate this Contract immediately upon notice to the Contractor, without any liability for termination charges or any other liability of any kind of UNDP.

## **21.0 OBSERVANCE OF THE LAW:**

The Contractor shall comply with all laws, ordinances, rules, and regulations bearing upon the performance of its obligations under the terms of this Contract.

**22.0 SEXUAL EXPLOITATION:**

**22.1** The Contractor shall take all appropriate measures to prevent sexual exploitation or abuse of anyone by it or by any of its employees or any other persons who may be engaged by the Contractor to perform any services under the Contract. For these purposes, sexual activity with any person less than eighteen years of age, regardless of any laws relating to consent, shall constitute the sexual exploitation and abuse of such person. In addition, the Contractor shall refrain from, and shall take all appropriate measures to prohibit its employees or other persons engaged by it from, exchanging any money, goods, services, offers of employment or other things of value, for sexual favors or activities, or from engaging in any sexual activities that are exploitive or degrading to any person. The Contractor acknowledges and agrees that the provisions hereof constitute an essential term of the Contract and that any breach of this representation and warranty shall entitle UNDP to terminate the Contract immediately upon notice to the Contractor, without any liability for termination charges or any other liability of any kind.

**22.2** The UNDP shall not apply the foregoing standard relating to age in any case in which the Contractor's personnel or any other person who may be engaged by the Contractor to perform any services under the Contract is married to the person less than the age of eighteen years with whom sexual activity has occurred and in which such marriage is recognized as valid under the laws of the country of citizenship of such Contractor's personnel or such other person who may be engaged by the Contractor to perform any services under the Contract.

**23.0 AUTHORITY TO MODIFY:**

Pursuant to the Financial Regulations and Rules of UNDP, only the UNDP Authorized Official possesses the authority to agree on behalf of UNDP to any modification of or change in this Contract, to a waiver of any of its provisions or to any additional contractual relationship of any kind with the Contractor. Accordingly, no modification or change in this Contract shall be valid and enforceable against UNDP unless provided by an amendment to this Contract signed by the Contractor and jointly by the UNDP Authorized Official.

